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Western Digital Corporation  
20511 Lake Forest Drive, E118-G  
Lake Forest, California 92830

Tel: 949.672.7000  
Fax: 949.672.6604

TO: COMMISSIONER FOR PATENTS, U.S. PATENT & TRADEMARK OFFICE		
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	FILING DATE	03/31/2004
	FIRST NAMED INVENTOR	Cain, et al.
	ART UNIT	2131
	CONFIRMATION NO.	8810
	EXAMINER	To be Assigned
	ATTORNEY DOCKET NO.	A1450
TITLE	PERFORMING BACKUP OPERATIONS TO PERIPHERAL DATA STORAGE SYSTEMS	

**ATTACHED WITH THIS SUBMISSION:**

1. FAX Transmittal Cover Sheet (this sheet)
2. Transmittal Form (1 page)
3. Information Disclosure Statement (PTO/SB/08A) (1 page)

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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031  
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/816,348	<b>RECEIVED</b> <b>CENTRAL FAX CENTER</b> <b>APR 11 2006</b>
	Filing Date	03/31/2004	
	First Named Inventor	Cain, et al.	
	Art Unit	2131	
	Examiner Name	To be Assigned	
Total Number of Pages in This Submission	Attorney Docket Number	A1450	

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Western Digital		
Signature	<i>Jason Evans</i>		
Printed name	Jason T. Evans, Esq.		
Date	4-11-06	Reg. No.	57,862

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Signature	<i>Claire Taylor</i>	
Typed or printed name	Claire Taylor	Date 04-11-2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/816,348
Filing Date	03/31/2004
First Named Inventor	Cain, et al.
Art Unit	2131
Examiner Name	To be Assigned
Attorney Docket Number	A1450

Sheet 1 of 1

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 5,263,668	11-23-1993	Reiter	
	2	US- 5,388,792	02-14-1995	Hastings, et al.	
	3	US- 5,749,637	05-12-1998	McMahan, et al.	
	4	US- 5,887,962	03-30-1999	Tsai	
	5	US- 6,311,941	11-06-2001	Feldmeyer	
	6	US- 6,688,708	02-10-2004	Janson	
	7	US- Des. 308,515	06-12-1990	Stead	
	8	US- Des. 308,517	06-12-1990	Florie	
	9	US- Des. 309,294	07-17-1990	Sottsass	
	10	US- Des. 313,015	12-18-1990	Ryan, et al.	
	11	US- Des. 335,489	05-11-1993	Sander	
	12	US- Des. 358,581	05-23-1995	Daniels	
	13	US- Des. 365,331	12-19-1995	Martin, et al.	
	14	US- Des. 366,464	01-23-1996	Martin	
		US-			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>2</sup> Number <sup>3</sup> Kind Code <sup>4</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>5</sup>

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

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